

## FORM CRS: RELATIONSHIP SUMMARY

**AKAR CAPITAL MANAGEMENT, INC.**  
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This relationship summary provides information about Akar Capital Management, Inc. to retail investors in accordance with rule 17a-14 of the Securities Exchange Act of 1934.

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**December 20th, 2022**

Akar Capital Management, Inc. is a registered broker-dealer under section 15 of the Securities Exchange Act of 1934 and is a registered Investment Adviser in the State of Florida. Brokerage and investment advisory services and fees differ, and it is important for the retail investor to understand the differences. This Form CRS addresses Akar Capital Management, Inc's brokerage services.

Akar Capital Management, Inc. is a member of FINRA and the Securities Investor Protection Corporation (SIPC).

Free and simple tools are available to research firms and financial professionals at <https://www.investor.gov/CRS>, which also provides educational materials about broker-dealers, investment advisers, and investing.

### **What investment services and advice can you provide me?**

Akar Capital Management, Inc. (the firm) in its capacity as a Broker Dealer (BD) provides brokerage services to retail investors. This means that the firm facilitates the buying and selling of securities for its retail brokerage clients. When retail clients reach out to the firm with unsolicited order instructions, the firm in its capacity as a BD executes same.

Akar Capital Management, Inc in its capacity as a BD does not engage in the business of reaching out to retail clients with recommendations, either about investment products or strategies or accounts.

If a retail client reaches out to the firm seeking to make an investment and the registered representative believes the particular investment under consideration does not align with the client's stated objectives, the rep. will at that time encourage the client to reconsider and/or to consider more appropriate alternatives. As part of this one-time discussion, there may be a review of the account holdings as against the stated objectives. Outside of this one-time discussion, the firm does not carry out ongoing monitoring of brokerage accounts with regard to investment objectives.

The firm does not offer proprietary products to retail investors and therefore does not offer advice regarding any such products. The firm does not have a minimum account size or minimum investment amount requirement for retail brokerage clients.

#### **Conversation starters**

- Given my financial situation, should I choose a brokerage service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

### **What fees will I pay?**

The firm's transaction fee follows a commission schedule with a minimum fee of \$35 and a maximum fee that could go as high as 2.5% of the value of the transaction. A transaction is the purchase or sale of a security and the investor is charged whenever a transaction occurs. This pricing structure may create a conflict of interest because more transactions result in more payments of transaction fees to the firm. Firms may therefore have incentives to encourage transactions.

Akar Capital Management, Inc. does not initiate conversations that may lead to transactions or actively encourage brokerage clients to conduct transactions. The firm facilitates brokerage transactions at client request. Separately, our custodian has fees for various account-related activities. A schedule of these fees is available on request at account opening.

*You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.*

Commissions will be deducted at the time of the transaction.

#### **Conversation starter**

- Help me to understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

### **What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?**

*When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations we provide you. Here are some examples to help you understand what this means:*

When we invest brokerage clients in mutual funds, we receive 12B-1 fees and a sales charge.

#### **Conversation starter**

- How might your conflicts of interest affect me, and how will you address them?

### **How do your financial professionals make money?**

Our financial professionals are compensated by salaries. There is no non-cash compensation. The salaries are not related to client-facing activities.

### **Do you or your financial professionals have legal or disciplinary history?**

Yes. More information about our financial professionals can be found at the free and simple search tool

#### **Conversation starter**

- As a financial professional, do you have any disciplinary history? For what type of conduct?

**Retail investors may call us at 954.476.7011 or visit our website at <https://www.akarcapital.com/> to find additional and up-to-date information about our brokerage services and request a copy of our Relationship Summary.**

#### **Conversation starter**

- Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?